

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO. SA9-98-174-D/1215D	SERIAL NO. To Be Assigned
	APPLICANT: Gerard M. DEARING, et al.	
	FILING DATE: Herewith	GROUP: To Be Assigned

# REFERENCE DESIGNATION

# U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
EE		5,557,792	09-17-1996	Josten et al.			
EE		5,115,392	05-19-1992	Takamoto et al.			
EE		5,109,512	04-28-1992	Bahr et al.			

# FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

# OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EE		Ryoji Kataoka, et al., "A Multiversion Concurrency Control Algorithm for Concurrent Execution of Partial Update and Bulk Retrieval Transactions", IEEE 1991, Japan, pp. 130-136
EE		IBM Technical Disclosure Bulletin, "Lock Management Architecture", October 1988, volume number 31, issue number 5, pp 125-128

EXAMINER	/Emeka Ebirim/	DATE CONSIDERED 08/16/2006
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.